

# Key Information Document

## Purpose

This document provides you with key information about this investment product. It is not marketing material. The information is required by law to help you understand the nature, risks, costs, potential gains and losses of this product and to help you compare it with other products.

## Product

# Algebris Sustainable World Fund Class I EUR

**Algebris Sustainable World Fund is a sub-fund of Algebris UCITS Funds plc**

MANUFACTURER: Algebris Investments (Ireland) Limited, a member of Algebris group

ISIN: IE000BTEMD26

WEBSITE: <https://www.algebris.com/>

TELEPHONE NUMBER: +44 (0) 203 196 2450

COMPETENT AUTHORITY: The Central Bank of Ireland ("CBI") is responsible for supervising Algebris Investments (Ireland) Limited in relation to this Key Information Document.

MANAGEMENT COMPANY: Algebris Investments (Ireland) Limited is authorised in Ireland and regulated by the CBI (the "Manager").

**Authorised in:** This PRIIP is authorised in Ireland

**ISSUED ON:** 09/12/2024

## WHAT IS THIS PRODUCT?

**Type:** Algebris Sustainable World Fund (the "Fund") is a sub-fund of Algebris UCITS Funds PLC (the "UCITS"), a public limited company incorporated with limited liability in Ireland with registered number 509801 and established as an umbrella fund with segregated liability between sub-funds and as an undertaking for collective investment in transferable securities pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations 2011, as amended. The Manager is the investment manager of the Fund. Segregated liability between sub-funds means that the assets and liabilities of each sub-fund are segregated by Irish law. The prospectus and periodic reports are prepared for Algebris UCITS Funds plc at umbrella level.

**Objectives:** The Fund's objective is sustainable investment within the meaning of Article 9 SFDR to make a positive impact on worldwide environmental sustainability and social standards by investing in companies contributing to UN Sustainable Development Goals, having low environmental footprints and operating within environmental boundaries.

The Fund primarily invests in equity and equity-related securities such as ordinary shares, common stock, preferred shares, global depository receipts, American depository receipts, equity baskets, equity indices, warrants and rights, exchange traded notes (ETN) and exchange traded funds (ETF) (the **Primary Asset Classes**). The Fund also invests in ancillary liquid assets including debt securities issued by governments with fixed or variable interest, investment grade or below investment grade. The Fund invests in global listed companies, including in developed and emerging markets, particularly in the People's Republic of China (the **PRC**). The Fund may invest no more than 20% of its assets in China A Shares and ETFs through Stock Connect, which is the securities trading and clearing programme linking the access to the stock markets of Mainland China and Hong Kong, comprises of the Shanghai-Hong Kong Stock Connect and/or the Shenzhen-Hong Kong Stock Connect. The Fund applies these criteria to its investment process: exclusion policies (ethical and climate related), monitoring of controversies, best-in-class screening, positive screening for environmental and social impact, positive screening for low environmental footprint and active engagement and voting.

You can buy or sell shares daily (but not on weekends or bank holidays in the UK or Ireland). For more information please refer to the section entitled "Dealings in the Fund" in the Supplement.

The Fund invests based on the following Environmental, Social and Governance (ESG) themes: clean energy and energy efficiency, clean water tech and sanitation, protection of biodiversity and marine resources, sustainable agriculture and food, waste disposal and circular economy, health and wellbeing, skills and education and industry, innovation and digitalization. The Fund may enter into financial derivative instruments (FDI) for hedging, efficient portfolio management and investment purposes, including swaps, options, futures and forward contracts. You can buy or sell shares daily (but not on weekends or bank holidays in the UK or Ireland).

The Fund seeks to generate positive risk-adjusted returns in the long-term and is actively managed without reference to any benchmark.

Any income that may arise in the Fund will be reinvested in the Fund.

**Hedging:** The Fund's base currency is Euro and the Fund may use currency hedging (the technique of buying or selling currencies to minimise fluctuations in non-Euro currency investments resulting from foreign exchange movements). There is no guarantee that this will be successful in eliminating all currency risk.

**Intended Retail Investors:** The Fund is an actively managed investment product suitable for all investors who have sustainable investment as an objective and seek capital growth, while being prepared to accept a moderate to high level of volatility with a long-term investment horizon. The investors must be able to bear the economic risk of the loss of their investment.

**Term:** The Fund has no maturity date at which the Fund will automatically terminate. The Manager is not entitled to terminate the Fund unilaterally nor can the Fund be automatically terminated. More details on the circumstances whereby the Fund may terminate are detailed in the prospectus of the UCITS (the "Prospectus") under the heading "Termination".

**Depository of the Fund:** BNP Paribas Dublin Branch.

The prospectus, the half-yearly reports and annual reports of the Fund can be obtained free of charge in English from your financial advisor or distributor.

Other practical information such as the latest share prices are available free of charge at <https://www.algebris.com/funds/>.

You may switch your shares in the Fund to shares in other sub-funds in which you are eligible to invest. Further information is available from BNP Paribas Fund Administration Services (Ireland) Limited.

**Representative Share Classes:** This document in respect of the Class I EUR is a representative key information document for other share classes issued by the Fund, namely Class I GBP (IE000WV2WU30), Class I CHF (IE000HGYVM78), Class I USD (IE000OLLPCD1), Class I JPY (IE000EGTJPRO), Class I SGD (IE00057NUW12), Class I HKD (IE0003NINMA6), Class I SEK (IE000LSDOJ51). Information about these share classes can be obtained from your financial advisor or distributor.

## WHAT ARE THE RISKS AND WHAT COULD I GET IN RETURN?

### RISK INDICATOR

The summary risk indicator is a guide to the level of risk of this product compared to other products. It shows how likely it is that the product will lose money because of movements in the markets or because we are not able to pay you.

1	2	3	4	5	6	7
---	---	---	---	---	---	---

Typically lower rewards

Typically higher rewards

Lower Risk

Higher Risk



**The risk indicator assumes you keep the product for 5 years. The actual risk can vary significantly if you cash in at an early stage and you may get back less. You may not be able to cash in early. You may not be able to sell your product easily or you may have to sell at a price that significantly impacts on how much you get back.**

We have classified this product as 4 out of 7, which is a medium risk class.

This rates the potential losses from future performance at a medium level, and poor market conditions could impact the capacity of the fund to pay you.

**Be aware of currency risk. In some circumstances, you may receive payments in a different currency, so the final return you will get may depend on the exchange rate between the two currencies. This risk is not considered in the indicator.**

The Fund is subject to the following Risk Factors relating to (without limitation):

- Market
- Credit and interest rate
- Derivatives
- ESG screening
- Emerging markets
- Stock Connect related

This product does not include any protection from future market performance so you could lose some or all of your investment.

### PERFORMANCE SCENARIOS

What you will get from this product depends on future market performance. Market developments in the future are uncertain and cannot be accurately predicted.

The unfavourable, moderate, and favourable scenarios shown are illustrations using the worst, average, and best performance of the product over the last 10 years. Markets could develop very differently in the future.

The stress scenario shows what you might get back in extreme market circumstances

Recommended Holding Period: 5 Years Investment: 10,000 EUR			
Scenarios		1 Year	5 Years (Recommended Holding Period)
<b>Minimum</b> There is no minimum guaranteed return. You could lose some or all of your investment.			
<b>Stress scenario</b>	<b>What you might get back after costs</b>	<b>1,450 EUR</b>	<b>1,530 EUR</b>
	Average return each year	- 85.45 %	- 31.30 %
<b>Unfavourable scenario</b>	<b>What you might get back after costs</b>	<b>7,890 EUR</b>	<b>10,050 EUR</b>
	Average return each year	- 21.14%	0.10%
<b>Moderate scenario</b>	<b>What you might get back after costs</b>	<b>10,800 EUR</b>	<b>14,650 EUR</b>
	Average return each year	8.00%	7.94%
<b>Favourable scenario</b>	<b>What you might get back after costs</b>	<b>14,900 EUR</b>	<b>18,730 EUR</b>
	Average return each year	48.95%	13.37%

The figures shown include all the costs of the product itself, but may not include all the costs that you pay to your advisor or distributor. The figures do not take into account your personal tax situation, which may also affect how much you get back.

### WHAT HAPPENS IF ALGEBRIS INVESTMENTS (IRELAND) LIMITED IS UNABLE TO PAY OUT?

Although the assets of the Fund are held in safekeeping and segregated from the assets of the Management Company or of the Depositary, in the event of the insolvency of either of those providers, you may suffer a financial loss. There is no compensation or guarantee scheme in place which may offset, all or any of, this loss.

## WHAT ARE THE COSTS?

The person advising on or selling you this product may charge you other costs. If so, this person will provide you with information about these costs and how they affect your investment.

## COSTS OVER TIME

The tables show the amounts that are taken from your investment to cover different types of costs. These amounts depend on how much you invest, how long you hold the product and how well the product performs. The amounts shown here are illustrations based on an example investment amount and different possible investment periods:

We have assumed:

- In the first year you would get back the amount that you invested (0% annual return). For the other holding periods we have assumed the product performs as shown in the moderate scenario.
- EUR 10,000 is invested.

Investment: 10,000 EUR Scenarios	If you exit after 1 Year	If you exit after 5 Years (Recommended Holding Period)
Total Costs	174.67 EUR	1,325.07 EUR
Annual Cost Impact (*)	1.75 %	1.89 %

\*This illustrates how costs reduce your return each year over the holding period. For example it shows that if you exit at the recommended holding period your average return per year is projected to be 9.82% before costs and 7.94% after costs. We may share part of the costs with the person selling you the product to cover the services they provide to you. They will inform you of the amount.

## COMPOSITION OF COSTS

One-off costs upon entry or exit		If you exit after 1 Year
Entry costs	We do not charge an entry fee for this product.	0 EUR
Exit costs	We do not charge an exit fee for this product.	0 EUR
Ongoing costs taken each year		
Management fees and other administrative or operating costs	1.19% of the value of your investment per year. This is an estimate based on actual costs over the last year.	120.43 EUR
Transaction costs	0.53% of the value of your investment per year. This is an estimate of the costs incurred when we buy and sell the underlying investments for the product. The actual amount will vary depending on how much we buy and sell.	54.23 EUR
Incidental costs taken under specific conditions		
Performance fees	There is no performance fee for this product.	0 EUR

## HOW LONG SHOULD I HOLD IT AND CAN I TAKE MONEY OUT EARLY?

### Recommended Holding Period: 5 Years

The Fund is designed to deliver capital appreciation over a medium to long-term investment horizon with a medium level of risk. You can redeem your shares as described in the "What is this Product" section with no penalty.

## HOW CAN I COMPLAIN?

Complaints concerning the operation or marketing of the Fund may be referred by email to [algebrisinvestmentsireland@algebris.com](mailto:algebrisinvestmentsireland@algebris.com), or by telephone to +44 (0) 203 196 2450 or by post to Algebris Investments (Ireland) Limited, 33 Sir John Rogerson's Quay, Dublin 2, Ireland.

## OTHER RELEVANT INFORMATION

**Remuneration Policy:** Algebris Investments (Ireland) Limited up-to-date remuneration policy, including, but not limited to, a description of how remuneration and benefits are calculated and the identity of persons responsible for awarding the remuneration and benefits is available at the following webpage <https://media.algebris.com/content/Algebris-Investments-Ireland-Limited-Remuneration-Policy.pdf> and a paper copy of such remuneration policy is available to investors free of charge upon request.

Further information regarding the Fund, including the Supplement and the Prospectus, latest annual report and any subsequent half-yearly report can be found at [www.algebris.com/funds/](http://www.algebris.com/funds/).

Performance is shown for full calendar years since this share class was launched on 25/11/2022 and can be found by following this link

[https://api.kneip.com/v1/documentdata/permalinks/KPP\\_IE000BTEMD26\\_en\\_IE-LU-NO-SG.pdf](https://api.kneip.com/v1/documentdata/permalinks/KPP_IE000BTEMD26_en_IE-LU-NO-SG.pdf)

The previous monthly performance scenario calculations can be found by following this link

[https://api.kneip.com/v1/documentdata/permalinks/KMS\\_IE000BTEMD26\\_en\\_IE-LU-NO-SG.xlsx](https://api.kneip.com/v1/documentdata/permalinks/KMS_IE000BTEMD26_en_IE-LU-NO-SG.xlsx).